

BACKGROUND INFORMATION

ON THE VOLUNTARY INITIATIVES AND TOOLS ISSUE IN ISO 26000

This document was produced as part of the discussions regarding "Annex A" of CD1/ISO 26000, taken by the informal group created at the seventh Working Group meeting, in Quebec.

It presents a compilation of decisions and discussions by WG/SR bodies regarding the subject of how voluntary initiatives and tools would be referred to in ISO 26000.

It is not exhaustive, and other relevant decisions and documents might exist.

RESOLUTIONS OF THE 5TH. MEETING (VIENNA)



2007-11-09

ISO/TMB/WG SR N 132

Page 5(5)

ISO/TMB/WG SR
Social Responsibility

Resolution 19

ISO/TMB/WG SR resolves to establish an ad hoc group on voluntary SR initiatives.

Terms of reference:

To develop general guidance for the IDTF on how to address issues relating to voluntary SR initiatives in the drafting of WD.4. The ad hoc group's guidance will build on and be provided within the context of past WG resolutions, as well as previous discussions in the LTF and the Standard Setting TGs on international norms, instruments and initiatives.

Members:

- Up to 3 representatives per stakeholder category
- A representative of the ILO (in accordance with the MoU)
- A representative of the UN Global Compact (in accordance with the MoU)
- IDTF convenor (convenor)

The ad hoc group will be disbanded in early December 2007 upon submission of its guidance to the IDTF.

CONCLUSIONS OF THE AD HOC GROUP SET IN VIENNA

SR Initiatives - Responses to Disc Doc - 071219.doc

18 December 2007

Reference to SR Initiatives in ISO 26000

Responses to Discussion Document for Ad Hoc Group on Voluntary SR Initiatives

18 December 2007

This is the header of the 8 page [document N 021 IDTF](#), available at the livelink, reflecting the discussions of the ad hoc group set in Vienna do discuss the subject of reference to voluntary SR initiatives.

CONSULTATION TO STAKEHOLDERS (FROM VIENNA TO SANTIAGO)

IDTF N052 – Stakeholder Questions on WD4.1

11 March 2008 – Page 4(10)

Question 5: Reference to social responsibility initiatives

The nature of any reference to social responsibility initiatives within the standard is an issue on which divergent views have been expressed. In terms of Resolution 19 of the Vienna Meeting, an ad hoc group was established to provide guidance on this issue to the IDTF. To initiate discussion in this ad hoc group, the Convener of the IDTF produced a discussion document that provided background to the issue and raised three questions for consideration by the group. The responses of the stakeholder representatives to these questions are provided in IDTF N021.

Issue 1 – Reference to initiatives: At the Paris meeting it was agreed that for the purposes of the next phase of drafting, specific social responsibility initiatives would not be referenced in the body of the text, but rather that references would be provided to an Annex that provided a listing of initiatives relevant to social responsibility (see IDTF N031). A first draft of this annex has been developed, and some guidance on using instruments and initiatives is provided in Clause 8.7.

Issue 2 – Citing initiatives as sources: As regards the citing of sources that were used to inform the guidance provided in each clause, various possible options for referencing have been identified including: i) within a footnote in the body of the text; ii) in a separate annex (e.g. with a brief paragraph for the relevant clause or sub-clause explaining the sources); iii) within the Bibliography; or iv) possibly elsewhere in the body of the text itself. The decision on this issue should be informed by the goal of providing maximum beneficial guidance to the user.

***Q5(A):** On Issue 1: After considering the explanation and rationale for the approach to initiatives that was adopted for this round of drafting (see IDTF N051), and the accompanying first draft of the Annex, are you willing to accept this proposed way forward as the longer-term compromise solution? This solution is intended to provide practical guidance to users of the standard, while at the same time addressing concerns regarding endorsement of initiatives. If you do not believe this to be a reasonable compromise, please provide an alternative more compelling compromise solution that you believe suitably provides for the various issues raised in IDTF N021.*

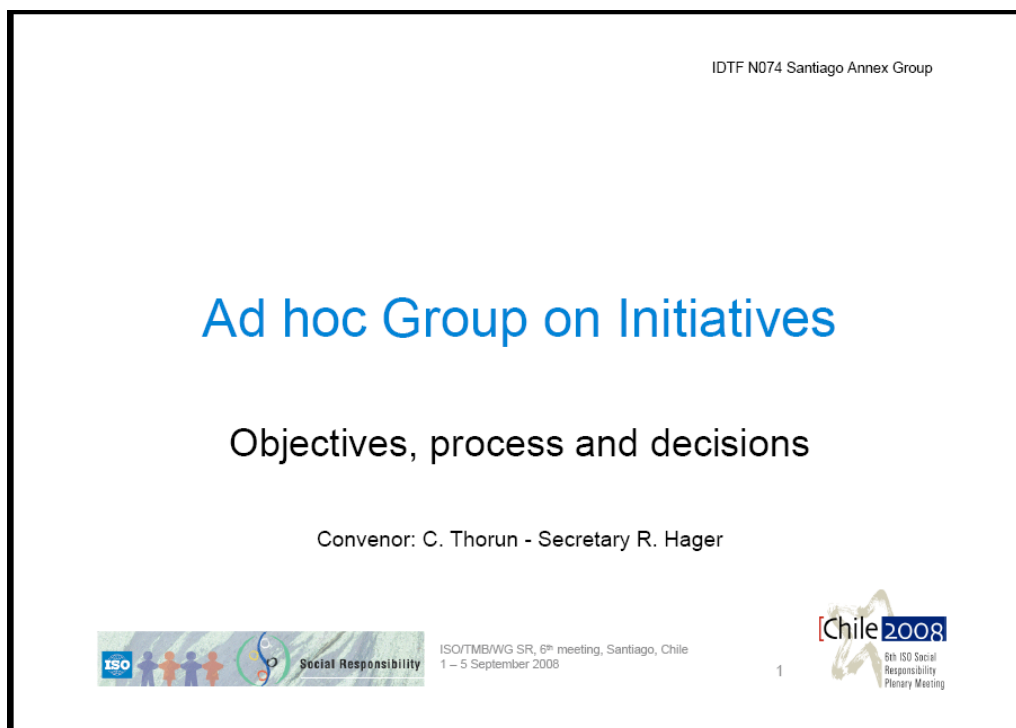
***Q5(B):** On Issue 1: Guidance to organizations on using initiatives is currently provided in Clause 8.7, but some questions remain on the nature and location of this guidance. To assist the IDTF:*

- i. Is the guidance provided in Clause 8.7 useful? If not, what kind of guidance on using initiatives do you think would add value to users of this International Standard?*
- ii. Where in the document should such guidance (if any), be included (options may include Clause 8.7, other sub-clauses in the standard, or in the Annex on initiatives)?*


***Q5(C):** On Issue 2: Noting on one hand the concern about ISO endorsing initiatives, and on the other the standard practice of referencing relevant and appropriate sources, please comment on the preferred approach for citing initiatives as sources.*

REPORT OF THE INITIATIVES AD HOC GROUP WORKING IN SANTIAGO. TO THE FDM

This is the cover of the 15 slides [document N 074 IDTF](#), available at the WG/SR livelink, reflecting the conclusions of the ad hoc group set in Santiago do discuss the subject of reference to voluntary SR initiatives.



RESOLUTIONS OF THE 6TH. MEETING (SANTIAGO)

	2008-09-05	ISO/TMB/WG SR N 154 Page 1(4)
ISO/TMB/WG SR Social Responsibility		
Handled by Kristina Sandberg E-mail kristina.sandberg@sis.se		
Resolutions from the 6th meeting of ISO/TMB/WG SR, Santiago, Chile, 2008-09-01—05		
<i>NOTE: Resolutions reflect decisions taken that affect the future work of the WG or major decisions. All other decisions will be reflected in the Minutes.</i>		
Resolution 1 ISO/TMB/WG SR resolves to adopt the proposed way forward on the Santiago WG Key Topics as presented in ISO/TMB/WG SR N152 Rev1 as the basis for the next draft of ISO 26000.		

N152 rev1 – Santiago Key Topics (APPROVED IN SANTIAGO RESOLUTIONS)



Question to be addressed	Synopsis of main comments and suggestions (as raised by different WG experts and contained in the consolidated comments on WD4.2)	Proposed way forward
2. Nature of reference to social responsibility initiatives		
2.1 How should reference to social responsibility initiatives be addressed in the Standard?	<p>How SR Initiatives are addressed in the guidance standard has been the subject of considerable debate. On the last day of the Vienna WG meeting, a special discussion on this issue was held, after which it was agreed to establish an Ad Hoc Group on Voluntary SR Initiatives (Resolution 19 of Vienna meeting). The outcomes of the discussions in the ad hoc group are provided in IDTF N21.</p> <p>In the various discussions on this issue, two general views have been expressed:</p> <ul style="list-style-type: none"> Some experts suggest that those SR initiatives that meet a set of baseline criteria <i>and</i> that add value to the guidance document should be referenced in the body of the text. These experts suggest that not only do voluntary initiatives provide guidance on how organizations should best address the expectations of different stakeholders, but they also help to set (and subsequently to reflect) the expectations of stakeholders. They further suggest that making reference to such initiatives in the main body of the text can help to provide further perspective for the reader at the appropriate point in the standard, and within the correct context for the user. Simply making reference to initiatives in an appendix or annex could not give equivalent contextual specificity adequately to inform the potential user. Other experts have suggested that SR initiatives should not be mentioned in the body of the text since that could create 	<p>The following is proposed as the way forward:</p> <ul style="list-style-type: none"> As noted earlier, there has been extensive discussion both amongst the broader Working Group experts, as well as between the different stakeholder representatives to the IDTF. (At the Cape Town meeting of the IDTF, for example, broad acceptance was expressed by five of the six stakeholder groups that the proposed Annex was the most likely compromise approach on this issue. At this meeting, however, the NGO representatives highlighted that they could not support the proposal until they had seen the Annex, and emphasised remaining concerns, suggesting that working on an Annex may be a good means for seeking compromise, but not the only means. A first draft of the Annex was presented in WD4.2). On the basis of the written comments on WD4.2 there appears to be strong evidence of support for the compromise of referencing initiatives in the Annex. On this basis, it is proposed that the WG confirm the compromise reached and that it focus further efforts on finalising the Annex so as to maximise the value of the guidance that it presents. Further proposals on the nature of the Annex are suggested below.

	<p>confusion between "private initiatives" and authoritative inter-governmental instruments and sources present in the main text. They are concerned that reference in the body of the text might be seen as endorsement by ISO, and may suggest that organisations must join an initiative to be socially responsible, which is misleading. It has been suggested that ISO should not be put in the position of judging specific initiatives as would be the case if specific initiatives are to be named in the body of the text. This would require far more carefully considered criteria and elaborate procedures for these judgements than for deciding whether to list an initiative in an Annex. Some experts have expressed concern with including reference to initiatives anywhere in the Standard (including in the Annex), but have recognised that a comprehensive but non-exhaustive list in the Annex is a useful compromise.</p> <p>In the written comments on WD4.2, three alternatives were presented to the suggested compromise of using an Annex on initiatives:</p> <ul style="list-style-type: none"> • Deleting the Annex (one comment). • Incorporating the Annex into clause 7.8 (four comments, by two D-liaison organisation). • Providing information on initiatives through a website, rather than in an Annex (two comments). <p>On the basis of the written comments, it would appear (either explicitly or by silence), that the overwhelming majority of experts have agreed to the compromise solution of referencing initiatives in the Annex.</p>	
<p>2.2 What is the definition of "initiative"? (Clarity on this issue will specify the nature and content of the proposed Annex).</p> <p><i>Related concerns include:</i></p> <ul style="list-style-type: none"> • <i>Should the Annex</i> 	<ul style="list-style-type: none"> • Various comments have been raised regarding the nature and scope of the concept of "initiatives"; this has a bearing on the content of the proposed Annex. • During the drafting process an array of documents were identified by experts as being useful in providing guidance on addressing some of the identified core subjects and issues, and/or assisting users in integrating social responsibility within an organization's activities. The comments and previous drafting process make reference to codes, principles, tools, 	<p><i>The following is proposed as the way forward:</i></p> <ul style="list-style-type: none"> • It is proposed that the definition of initiative should be broad enough to allow listing various kinds of "initiatives" including associated "tools". It is proposed that the Annex include reference to initiatives and (where relevant) associated tools. • On the basis of the proposals circulated by experts and during previous drafting process, the following definitions of initiative and tool are proposed: <p><i>Social responsibility initiative (definition)</i></p>

<p><i>include reference to codes, principles and tools?</i></p> <ul style="list-style-type: none"> Should "initiatives" from intergovernmental bodies be included in the Annex? 	<p>and programmes (as possible examples of initiatives). Some experts suggested that the existing definition may result in certain documents and "tools" being excluded from the standard. The need for a clear definition of initiative was highlighted.</p> <ul style="list-style-type: none"> A number of experts expressed support for the inclusion in the Annex of "tools" that are associated to initiatives. Others, however, have expressed concern with the length of the document if a large number of initiatives and other material was to be included. Some WG experts questioned whether "initiatives" that originate from intergovernmental bodies should be included in the Annex and, if so, whether these should be listed together with, or separate from, the other "private initiatives". On the basis of the comments there seems to be broad support that intergovernmental <i>initiatives</i> should be listed in the Annex. The majority of comments were in favour of including those initiatives, but suggested that they be separate from "private" initiatives, as a means of providing useful guidance to the users. Other experts suggested however that these initiatives should not be listed separately from private initiatives, as this suggests a particular hierarchy, and recommended rather that the source of each initiative be listed. 	<p><i>an organization, programme or activity expressly devoted to making progress towards meeting a particular aim relating to social responsibility</i> NOTE: <i>initiatives can be developed, sponsored or administered by any type of organization.</i></p> <p>Social responsibility tool (definition) <i>a document, system, methodology, or similar means, that relates to a social responsibility initiative and that is designed to assist organizations in meeting a particular aim relating to social responsibility</i></p> <ul style="list-style-type: none"> In the context of this standard the phrase "instrument" is taken to refer to authoritative intergovernmental instruments (e.g. conventions, treaties and declarations) that have been developed and agreed through inter-governmental negotiations. Various authoritative intergovernmental instruments are cited in the body of the text as sources of the guidance, and are referenced in the Bibliography. It is proposed that these instruments should not be included in the Annex, so as to avoid possible confusion between authoritative inter-governmental instruments and SR initiatives. As regards SR initiatives and tools that have been developed and launched by intergovernmental organisations, but that are not authoritative international instruments, it is recommended that these be included in the Annex, where feasible stating which organization or organizations were involved in its development. (The possibility of listing such initiatives separately from "private" initiatives is addressed as part of the suggested way forward as proposed by the small group meeting in Santiago, as reflected in IDTF N074).
<p>2.3 What should be the content, structure and format of the proposed Annex.</p> <p><i>Related questions include:</i></p> <ul style="list-style-type: none"> What criteria should be used for selecting initiatives? What process should be adopted for populating 	<p>A key consideration in finalising the content of the Annex, is agreeing which initiatives should be referenced in the Annex. Various comments were made on possible criteria for identifying initiatives.</p> <ul style="list-style-type: none"> The level of acceptance, recognition and credibility of the initiative were highlighted as possible criteria. While some experts consider that only initiatives with international aim and wide acceptance should be included, others argue that some local initiatives are also very useful. Stakeholder engagement in the initiative is, for some, a relevant criteria, while others argue that the nature of this 	<p>The following is proposed as the way forward:</p> <ul style="list-style-type: none"> It is proposed that the IDTF continue its preparatory work on a draft Annex, based on its review of the written comments made on WD4.2 and informed by the outcomes of the small group meeting in Santiago, as reflected in IDTF N**. This Annex will be presented as a basis for further discussion and review at Santiago. While there may be merit in further drafting of the annex in a small group in Santiago, the final decision on the structure and format of the Annex should be undertaken by the Working Group, early in the Santiago meeting. In terms of populating the Annex with initiatives, it is proposed that after completion of the first approved draft in Santiago, the WG send out a 'call for

<p><i>the Annex with initiatives?</i></p> <ul style="list-style-type: none"> • <i>What level of detail should be provided on each initiative?</i> 	<p>engagement would need to be assessed before knowing;</p> <ul style="list-style-type: none"> • In terms of populating the Annex one suggested option is to conduct a survey amongst experts. <p>In terms of the format of the Annex, various proposals were made:</p> <ul style="list-style-type: none"> • The written comments are mostly in favour of a table as this is seen as more useful to readers. It is seen as a means of avoiding repetitions, connecting each initiative/tool to more than one possible use (core subjects and/or implementation practice). Various suggestions were made relating to the table format. • Other experts, however, prefer a simple list, believing it would be difficult to assure the correctness of the information supplied in a table. The merit of a list grouped by headers corresponding to the "core subjects" is seen as being more flexible, and allowing some degree of customization in the description of the initiative/tool that will not be limited to the title of the table's columns. • Some of those that prefer a table support the current draft that divides it into general and sector specific initiatives. Another option is to have sector specific initiatives in a separate table but without internal division by sector. <p>In terms of the level of detail on each initiative:</p> <ul style="list-style-type: none"> • Some expressed concerns about biased information describing each initiative and about implications for the length of the document. • For some, the additional information should be limited to the website where one can get details on a particular initiative. • Some think that some basic information might be inserted in a table. 	<p>entries" presenting the agreed criteria and a revised draft of the Annex, and asking for additional initiatives that are consistent with these criteria. The IDTF should establish an approach to populating the annex, which is as neutral and feasible as possible. Some weeks should be allowed, after which the Annex will be finalised by the IDTF with all those initiatives consistent with these criteria, and this will be disseminated as part of the final proposed text of the anticipated Committee Draft.</p>
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N170, Resolutions Quebec, May 2009



ISO/TMB/WG SR
Social Responsibility

2009-05-23

ISO/TMB/WG SR N 170

Page 1(2)


Draft Resolutions from the 7th meeting of ISO/TMB/WG SR, Quebec, Canada, 2009-05-18—22

Resolution 9

ISO/TMB/WG SR approves the agreed ways forward from the Clause Specific Meetings as reported or discussed in plenary and presented in document ISO/TMB/WG SR N 168, as the basis for drafting the DIS of ISO 26000.

N 168 - IDTF N093 - CSM presentations at Quebec Full Document Meeting

This is a selection of the document mentioned above, including all the slides about reference to voluntary SR initiatives and "Annex A" of CD1 / ISO 26000. Some key slides of IDTF_N_074 were also included in that presentation, are reproduced below.





ISO/TMB WG SR – ISO 26000

**Clause-specific Meeting #5 – CSI 15.
Annex on voluntary initiatives and tools**

Quebec

18-22 May 2009


7th ISO Social
Responsibility
Plenary Meeting


May 18-22, 2009 - Québec, Canada
Quebec City Convention Center

CSM5 – CSI 15 - Annex



General approval of the Annex on initiatives in CD1

- 76 comments in total
- 2 comments suggesting to delete the Annex – this is a PCO taken in Santiago
- 1 comment suggesting to turn it into a website – PCO

Four questions were identified in the review of comments:

- What criteria should be used for agreeing the inclusion of tools and initiative?
- How should the Annex be structured?
- What should be the contents of the Annex?
- Which specific initiatives should be included in the Annex?

Discuss these questions based on decisions taken at Santiago meeting

CSM5 – CSI 15 – Annex – pwf 1



Question to be addressed	Synopsis of main comments and suggestions (as raised by different WG experts and contained in the consolidated comments on CD1)
What criteria should be used for agreeing the inclusion of tools and initiative?	<p>Different comments were provided on the issue of the criteria for selecting initiatives and tools. Experts' comments included:</p> <ul style="list-style-type: none"> • providing for national standards, • deleting certifiable initiatives, • include initiatives aimed at specific users (such as SMOs) • ensure a distinction between initiatives and tools <p>At Santiago criteria were agreed for the inclusion of initiatives in the Annex. As this issue has been carefully addressed, the general orientation is to maintain the existing criteria, adjusting them only as a matter of clarification or correction in the context of new issues that are raised.</p>

CSM5 – CSI 15 – Annex - pwf 1



Proposed way forward

The following is proposed as the way forward:

- The annex should only include initiatives aimed for international use; initiatives created by a single country, intended to be used only by organizations of the same country operating abroad, should not be included.
- In cases when an initiative included several tools, information about these tools should be provided under "Additional information", provided that limits for the amount of information is respected (see question 3 below).
- When producing their national versions of ISO 26000, NSBs may develop a complementary Annex that includes national initiatives.

This multi-clause topic will be addressed in CSM5

Exclude: and/or by ISO member bodies

Exclude: (even if

Exclude:)

CSM5 – CSI 15 – Annex - pwf 2



Question to be addressed	Synopsis of main comments and suggestions (as raised by different WG experts and contained in the consolidated comments on CD1)
How should the Annex be structured?	<p>Various comments were provided on the structure of the Annex:</p> <ul style="list-style-type: none"> • re-structure the Tables dividing initiatives into cross-sectoral and single sectoral first, with the cross-sectoral having a first list with initiatives endorsed by intergovernmental organizations; • Add a column of marked boxes to indicate the nature of stakeholder participation in the development of the initiative • Include a new table with ISO/IEC initiatives

CSM5 – CSI 15 – Annex - pwf 2



Proposed way forward

The following is proposed as the way forward:

- Confirm the current structure
- Add information about endorsement by intergovernmental organizations under the "Additional Information" column. Such information will be based on a formal decision taken by governing body of an intergovernmental organization
- Include information about stakeholder participation in Table A.2 under the Additional Information column
- Include ISO/IEC initiatives in the Bibliography when relevant

This multi-clause topic will be addressed in CSM5

CSM5 – CSI 15 – Annex - pwf 3



Question to be addressed	Synopsis of main comments and suggestions (as raised by different WG experts and contained in the consolidated comments on CD1)
What should be the contents of the Annex?	<p>Several comments suggested changes in the content of the tables, including:</p> <ul style="list-style-type: none"> • Deleting the column "Practices for integrating social responsibility" • Providing examples of the use of initiatives by different kinds of organizations • limiting the description of the initiative in "additional information" <p>At Santiago, there was agreement on the structure and general content of the annex. Since this issue has been carefully addressed, the general orientation is to keep existing directives, adjusting it only as a matter of clarification or correction facing new facts or aspects not considered before.</p>

CSM5 – CSI 15 – Annex - pwf 3



Proposed way forward

The following is proposed as the way forward:

- Keep column "Practices for integrating social responsibility" since this reflects the decisions from Santiago and will help readers to relate the initiative to each sub-clause;
- As adding more information on each initiative and examples of its use will result in an even longer Annex, it is suggested that a limit (number of characters) is established for the "additional

information" cells, based in the existing examples and possible changes in their expected content. The IDTF will re-examine the design of the tables in the Annex in order to reduce its length without changing its structure or reducing the information provided

This multi-clause topic will be addressed in CSM5

CSM5 – CSI 15 – Annex - pwf 4



Question to be addressed	Synopsis of main comments and suggestions (as raised by different WG experts and contained in the consolidated comments on CD1)
Which specific initiatives should be included in the Annex?	Experts have identified various specific initiatives for inclusion in the Annex

CSM5 – CSI 15 – Annex - pwf 4



Proposed way forward

The following is proposed as the way forward:

- After agreement is reached regarding criteria, structure and its practical application, the requirements to include new initiatives should be addressed.
- Since this would reflect objective criteria already tested with existing content of the annex, not much controversy is expected.
- The task to address/propose a way forward to each requirement of inclusion can be taken even at Quebec, by a small ad hoc group, later reporting to approval at the CSM.

This multi-clause topic will be addressed in CSM5

CSM5 – CSI 15 – Annex - Extra



Concern	Explanation
BASIC CONCERNS	
1) Certifiable initiatives and tools	Annex A listing certifiable initiatives which are managed outside ISO and are not within ISO control. Highlighting those initiatives will increase risk that ISO 26000 will be used as certifiable tool itself. Users will feel compelled to certify, since certifiable tools are included in the annex.
2) Endorsement and preferential treatment	Regardless of any disclaimer language users will understand that initiatives mentioned in the Annex are endorsed by ISO. Application of any criteria to populate the Annex might be misunderstood as judgement by ISO.
3) Promotion of commercial interests of organizations that market initiatives/tools	Mentioning certain tools/initiatives in the annex will allow them to use ISO 26000 as a "sales tool", pushing their tool on organizations.
OTHER CONCERNS:	
Keeping up to date	The Annex will link ISO 26000 to initiatives that might change overtime, and become incompatible to it.
Sectoral initiatives	Presence of <u>sectoral</u> initiatives in Annex A implies that Social Responsibility is more relevant to some sectors than others.
Length versus x inclusiveness	Bringing into Annex A a large number of initiatives, will make the document too long.

CSM5 – CSI 15 – Annex FROM SANTIAGO TO QUEBEC



Summary of decisions taken at Santiago meeting (N152rev1)

- Based on comments on WD4.2 there was support for the compromise of referencing initiatives in the Annex
- The WG confirmed that compromise
- It should be clearly stated in a disclaimer that ISO is not endorsing any initiatives
- The Annex is indicative and not exhaustive
- The Annex would have a format of a table
- Adopted an definition of SR initiative

CSM5 – CSI 15 - Annex



Summary of decisions taken at Santiago meeting (N152rev1)

- SR initiatives and tools that have been developed and launched by intergovernmental organisations, but that are not authoritative international instruments, should be included in the Annex
- Possibility of listing such initiatives separately from “private” initiatives was addressed as part of the discussion on the structure
- There was no consensus on the structure of the Annex and the WG assigned to the IDTF the task of defining the final format
- The IDTF was to finalize a draft Annex, based on the written comments made on WD4.2 and on the outcomes of the Santiago AHG on Initiatives
- Populating the Annex with initiatives
 - the WG sent out a ‘call for entries’ presenting the agreed criteria asking for additional initiatives that are consistent with these criteria.
 - The Annex will be finalised by the IDTF with all those initiatives consistent with these criteria

CSM5 – CSI 15 - Annex



IDTF N074 AHG on Initiatives – Summary of

3) Selection criteria

Key question: According to what criteria should initiatives and tools be included in the Annex?

Objective:

- include only initiatives that relate to social responsibility as defined in ISO 26000
- limit the number of initiatives and tools to a meaningful and manageable number on the basis of reasonable criteria

Consensus decision of the Ad hoc group: two criteria should be applied:

- purpose of initiative or tool should relate to social responsibility, as defined in ISO 26000
- not specific to one country
- after compilation of a draft annex, IDTF can suggest additional criteria from the range of characteristics (defined under 4) to the WG to comment on

Chile 2008

CSM5 – CSI 15 - Annex



IDTF N074 AHG on Initiatives – Summary of
decisions


1) Purpose of the annex

Key question: What is the purpose of the annex?

There was a shared understanding in the Ad hoc group that:

- the *purpose* of the annex is to give users of the standard information about **how** to address certain **core subjects** and **how** to **integrate** social responsibility into the organization and **where** to find potential **partners**
- it is to be made clear that ISO does not **endorse** an initiative or tool by **referencing** it in the annex
- the *selection criteria* should be as **'objective'** as possible
- the process of populating the annex should be as **efficient** as possible while at the same time there needs to be some kind of **quality control**

CSM5 – CSI 15 - Annex



IDTF N074 AHG on Initiatives – Summary of

2) Disclaimer

Key question: How to prevent the impression that ISO might endorse initiatives and tools by referencing them in the annex?


There was consensus in the Ad hoc group that: at the beginning of the annex a disclaimer be added to articulate that:

- the annex is indicative and not exhaustive; and
- by referencing initiatives or tools ISO does not endorse any of them

Furthermore:

- the process of selection should be described
- NSBs may consider to prepare national supplements on national initiatives and tools relate to social responsibility as defined in ISO 26000

CSM5 – CSI 15 - Annex



IDTF N074 AHG on Initiatives – Summary of

6) Structure

Key question: According to what criteria should initiatives and tools be grouped in the Annex?

Ad hoc group: There was no consensus in the ad hoc group, four options were put forward – majority for Option C

A

1st: global / regional
2nd: nature (intergovernmental / private)
3rd: sector (generic, agriculture, construction etc.)
4th: number of core subjects/integration aspects covered

B

1st: generic / sector specific
2nd: alphabetical order


C


1st: global / regional
2nd: nature (intergovernmental / private)
3rd: sector (generic, agriculture, construction etc.)
4th: alphabetical

D


1st: generic / sector specific
2nd: nature (to be defined by the IDTF)
3rd: alphabetical order

IDTF adopted this option by consensus of Stakeholders representatives





ISO/TS 26000 SR, 4th meeting, Santiago, Chile
7 - 8 September 2008



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CSM5 – CSI 15 - Annex



STRUCTURE AGREED BY THE IDTF TAKING IN CONSIDERATION SANTIAGO MEETING

– Cross-sectoral initiatives	Table A.1
• Nature of the organization:	
• Intergovernmental initiatives	Section 1
• Multistakeholder (alphabetic order)	Section 2
• Single stakeholder (alphabetic order)	Section 3
– Sector specific initiatives	Table A.2
• Sector (alphabetic order)	12 sections

CSM5 – CSI 15 - Annex



ISO/TMB/WG SR N 156 - IDTF N077 – SR Initiatives Annex Template asked experts to submit initiatives following the below:

- To be eligible for the Annex, an initiative/tool should satisfy both of the following criteria:
 - The purpose of the initiative/tool should relate to social responsibility, as defined in ISO 26000.
 - The initiative/tool should not be designed to apply to organizations of only one country.
- Note 1: after compilation of a draft Annex, IDTF can suggest additional selection criteria, from the range of characteristics compiled. Such suggestion will be submitted to WG to comment.
- Note 2: to confirm if an initiative/tool fulfill criterion "a" above, it will be considered: (1) if it doesn't contradicts social responsibility as defined in ISO 26000 and (2) how and where it directly relates to specific topics addressed in ISO 26000 (to be indicated by the expert).

CSM5 – CSI 15 - Annex



Procedures for inclusion of initiative in the Annex

- **Around 170 submissions of initiatives and tools received**
- **The IDTF assessed the amount of information**
- **IDTF followed the guidance to limit the Annex into a manageable size**
- **Some tools and other documents submitted were grouped by initiative/organization**
- **Exclusion of some initiatives**
 - **Designed by or for one country**
 - **For use of one company**
 - **Consulting management tools for profit purposes**
 - **Language – how to check its content?**
- **49 initiatives remained in the current Annex – some of them consist of various tools**

New submissions from the comments on the CD1 should be considered

Selecting process consistent with criteria agreed by the WG and